



PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2006		Complete if Known	
		Application Number	10/665,524-Conf. #5810
		Filing Date	September 22, 2003
		First Named Inventor	Hideomi Koinuma
		Examiner Name	V. A. Mandala
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	Art Unit	2826	
TOTAL AMOUNT OF PAYMENT	(\$) 0.00	Attorney Docket No.	32307-191598

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ - 20 = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ - 3 = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____ / 50 _____ (round up to a whole number) x _____ = _____		

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

Fees Paid (\$)

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	26,032
Name (Print/Type)	Marina V. Schneller	Telephone	(202) 344-4000
		Date	March 23, 2007

DC2DOCS1/838998



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hideomi KOINUMA *et al.*

Application No.: 10/665,524

Confirmation No.: 5810

Filed: September 22, 2003

For: THIN FILM DEVICE AND ITS
FABRICATION METHOD

VIA FACSIMILE: 571 273 0025

Art Unit: 2826

Examiner: V. Mandala

Atty. Docket No.: 32307-191598

Customer No.

26694

PATENT TRADEMARK OFFICE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
IN CONJUNCTION WITH MARCH 7, 2007
PETITION UNDER RULE 313(C) AND AMENDMENT
WITH REQUEST FOR CONTINUED EXAMINATION TRANSMITTAL**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The issue fee in this case was paid January 8, 2007.

On March 7, 2007, Applicants petitioned to withdraw the case from issue [with a concurrently filed RCE and an INFORMATION DISCLOSURE STATEMENT].

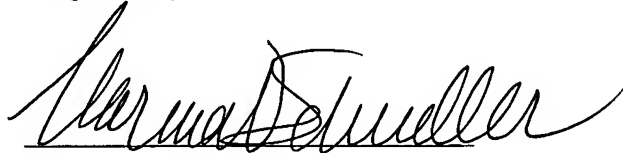
On March 9, 2007, the U.S. PTO Office of Petitions granted the petition of March 7, 2007.

Attached hereto is a 1449 form and a translation of an OFFICIAL NOTICE OF REJECTION [with a mailing date of February 2, 2007] concerning the Japanese Patent Application No. 2006-171766. The translation is cited on the 1449 form.

The Examiner is respectfully requested to consider the INFORMATION DISCLOSURE STATEMENT of March 7 together with the information provided hereby.

The Director is authorized to charge Deposit Account No. 22-0261 for any fee which may be related to the presentation.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Marina V. Schneller', written over a horizontal line.

Marina V. Schneller

Registration No. 26,032

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Dated: March 23, 2007

DC2DOCS1/838704



PTO/SB/08a/b (07-05)

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Substitute for form 1449A/B/PTO				Complete if Known	
				Application Number	10/665,524
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	September 22, 2003
				First Named Inventor	Hideomi KOINUMA et al.
				Art Unit	2826
				Examiner Name	V. Mandala
Sheet	1	of	1	Attorney Docket Number	32307-191598

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA				
	AB				
	AC				
	AD				
	AE				
	AF				
	AG				
	AH				
	AI				
	AJ				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA					
	BB					
	BC					
	BD					
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Translation of Official Notice of Rejection concerning Japanese Patent Application No. 2006-171766.	
	CB		
	CC		
	CD		
	CE		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature		Date Considered	
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DC2DOCS1/838997